

# Introduction

## Performance Evaluation of Computer Systems

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# Outline

- 1 Introduction
- 2 Administration
- 3 Motivation
- 4 Goals of Performance Evaluation
- 5 Techniques of Performance Evaluation

# Syllabus

## Purpose

It is all about measuring  
how fast (or slow) your software runs  
and what can you do to figure out why.

- Goals of performance evaluation
- What to measure
- How to measure
- How to process the data
- How to present the data
- Simulation and modeling

# Course Outcome

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- Using appropriate measurement procedures
- Selecting appropriate workload configuration

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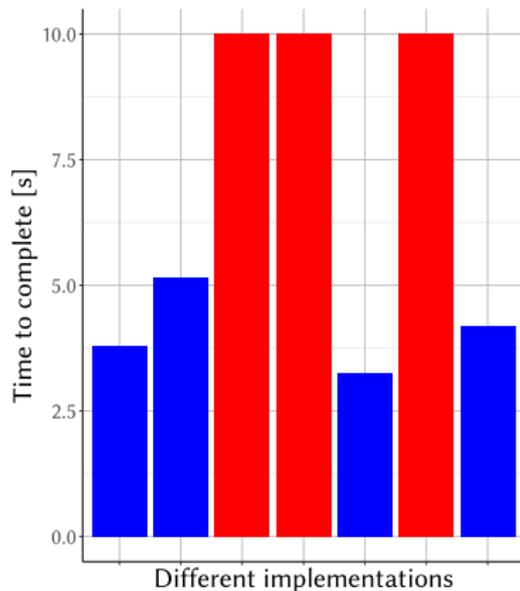
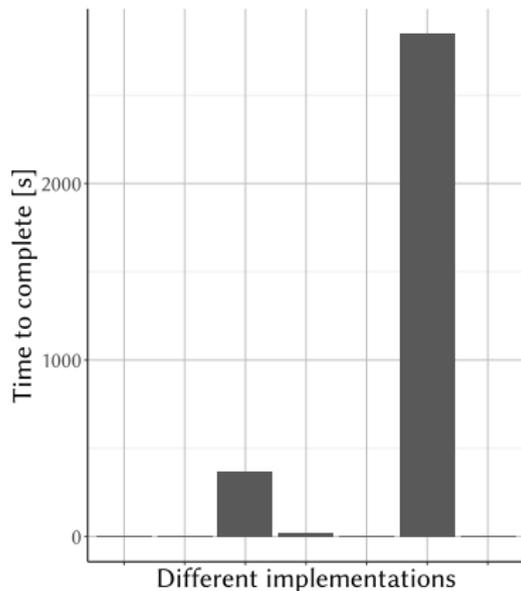
## Simulation and Modeling

- Understanding the principles and uses
- Understanding the limits of the methods

# How Hard Can It Be ?

## Experiment

Implement an application that reads an XML file with `<section>` and `<xref>` tags, and outputs a list of cross references grouped per section.



# How Hard Can It Be ?

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Select the faster of two (black box) implementations of the same interface.

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Compilers

Operating Systems

Computer Architecture

Operating Systems Course (NSWI004) is more than enough

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## Programming

Examples will be mostly in Java and C

# Activities

## Self Study

In general not much self study required.

Might provide a paper or two to read before some (later) lectures.

## Lectures

Me showing slides (boring) and  
hopefully you discussing (exciting) !

## Labs

Once roughly every two weeks, check webpage for dates.

Assignments to practice lecture content.

# Grading

## Labs

Each assignment earns 0-10 points.

Need 80 % to earn course credit.

Backup assignments not available !

## Exam

Prepare and execute a performance evaluation experiment of your choice.

During the exam, you will present the experiment and the results.

Exam discussion will be focused on:

- Understanding of the presented methods
- Correct evaluation of the experiment results
- Basic knowledge of the internals of the used tools

# Study Materials

## Online

Slides available on the webpage as slides and handouts.

Links to papers occasionally provided on the slides.

School digital library subscriptions should work.

Other common resources:

- Tool documentation (R, PAPI, perf, ...)
- Blogs (Alexey Shipilev, Cliff Click, ...)

## Books

- Recent
  - ▶ Gregg: Systems Performance
  - ▶ Kounev et al.: Systems Benchmarking ...
- Classics
  - ▶ Lilja: Measuring Computer Performance ...
  - ▶ Jain: The Art of Computer Systems Performance Evaluation

# Contact

- Petr Tůma  
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## Webpage

<http://d3s.mff.cuni.cz/teaching/nswi131>

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- Peter Libič

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# What is it about ?

## **Performance Evaluation** of Computer Systems

### Performance

Expressed using mostly time related metrics such as response time, latency, throughput (operations per second), but also more exotic metrics such as memory footprint, power consumption, thermal budget.

### Evaluation

Measurement of real or modeled systems.  
Analysis of (mathematical) models.

# What is it about ?

## Performance Evaluation of **Computer Systems**

### Computer Systems

We are interested in performance of *software applications*.

But it includes effects of other components, which are difficult to eliminate from the analysis, like:

- Libraries
- Virtual machine
- Operating system, hypervisor
- Hardware (processor, memory, caches ...)

# Why take the course I

## Development

Making appropriate decisions in development.

How to decide which library, algorithm or optimization is more suitable for the application ?

Measurements possibly tricky ...

Problems:

- Repeated measurement results change.  
Is the difference just noise or is one solution really better ?
- Which of the different results is representative ?  
How many measurement repetitions should we execute ?
- What is it we see in the results ?  
Is it server response time or network capacity ?

# Why take the course II

## Operations

Tuning parameters for best performance.

For example number of threads, heap memory size, network buffer size.

Identification of bottlenecks.

Problems:

- Ranking alternatives in presence of noise.
- Knowing what to configure and measure.

# Why take the course III

## Research

Research hypotheses require validation.

In complex systems theoretical validation may not be feasible.

We are then left with conducting experiments.

Problems:

- How to derive general conclusions from specific experiments ?
- How to make experiments reasonably reproducible ?
- How to avoid wrong conclusions ?

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# High Level Goals

Performance evaluation is usually not a self-serving goal.

## General Systems

- Create a system with best possible performance under given constraints (efficiency).
- Create a system with given performance at minimum cost (capacity planning).

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## Real-Time Systems

- Create a system that will (always) respond within deadline.
- Create a system that allows easy worst-case execution time analysis.

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## General Systems

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## Real-Time Systems

- Create a system that will (always) respond within deadline.
- Create a system that allows easy worst-case execution time analysis.

We are interested mostly in experimental methods to achieve these goals.

# Tasks Solved Using Performance Evaluation

Typical tasks solved using performance evaluation include:

- Assessing Performance Cost of Features
- Comparing of Design Alternatives
- Guiding System Tuning
- Testing Performance
- Debugging Performance
- Estimating Worst Case Performance
- ...

For each task, different techniques may be useful.

# Assessing Performance Cost of Features

## Task

Measure or estimate what (performance) impact would adding a feature have. Also possibly viewed as comparing system before and after adding a feature.

Example questions:

- Does it make sense to add more computing units ? caches ? memory ?
- Does it make sense to add or remove a specific compiler optimization ?
- What is the performance impact of upgrading or replacing a software component ?

Typical problems:

- What if the system is not yet available ?
- How to detect and express platform dependent results ?

# Comparison of Alternatives

## Task

Select the best of available design or configuration alternatives. Rarely single criterion (speed), typically compromise (speed, cost, power consumption, maintenance cost).

Example questions:

- What is the best hardware configuration (from a given list) for an application ?
- What components (libraries, operating system, database) to use for an application ?

Typical problems:

- What if the system is not yet available ?
- Interaction between alternatives (software selection may depend on hardware).

# Guiding System Tuning

## Task

Given a configurable system, guide the tuning procedure to achieve optimum configuration. Done mostly during or after deployment rather than during development.

Example questions:

- What configuration settings matter ?
- How to set a particular parameter (buffer size, thread pool size, heap size, scheduler parameters, ...) ?

Typical problems:

- Interaction between settings.
- Impacts can be counterintuitive.
- Testing all possible combinations is often impossible, too many settings and too many legal values.

# Testing Performance

## Task

Given a system, develop and execute a test suite that provides developer feedback. Testing possible at many levels such as unit testing or integration testing.

Example questions:

- Does a particular component meet the performance requirements ?

Typical problems:

- What are the actual requirements ?
- Too many measurements take too much time.
- Handling platform dependent requirements difficult.
- Realistic test conditions needed for realistic measurements.

# Debugging Performance

## Task

Given a (deployed) system that may exhibit performance anomalies, detect the anomalies, locate the causes and develop fixes.

Example questions:

- Is the observed performance reasonable ?
- What are the slow configurations or instances ?
- What are the performance critical system elements ?
- And the killer question: How can this be happening ?

Typical problems:

- Measuring a live system can distort behavior.
- How to develop smaller test cases that reveal the problem.
- Figuring out what is happening requires extensive knowledge.
- We want to avoid rather than understand problems.

# Estimating Worst Case Performance

## Task

Help estimate (induce and measure) the worst possible performance. This is tricky because real-time system design needs hard guarantees.

Example questions:

- What workload will trigger the worst possible performance ?
- Does an optimization improve the worst case ?
- Can any completeness guarantees be made ?
- How to design for testability ?

Typical problems:

- Guarantees (very) hard to get.
- Worst case performance not composable.
- Proper randomization of experimental conditions

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# Techniques Overview

Range of applicable techniques depends on circumstances.

## Lifecycle Phase

- Design time with no implementation
- Development time with partial implementation
- Deployment time with full implementation and running application

## Available Information

- Design plans with estimates
- Actual software implementation
- Actual performance measurements

## Constraints

- Accuracy requirements
- Portability requirements
- Cost of conducting experiments

# Performance Modeling I

## Create a Model of the System

- Mathematical (statistical) model or representation
- Reflects only selected characteristics of the system or environment
- Common model types include Queueing Networks, Petri Nets, or even low level models such as Markov Chains

## Evaluate (Solve) the Model

- Analytically evaluate performance properties of the model
- If analytical solution is impossible or too expensive, use simulation or numerical methods

# Performance Modeling II

## Simple Model Example

How many service desks should a bank have to service 60 customers per hour if it takes 5 minutes to service one customer ?

Queueing Theory model with Little's Law.

$$Utilization = Throughput \times ServiceTime$$

- Assume stable system
- Throughput 1 per minute
- Utilization  $1 \times 5 = 5$
- Serving on average 5 customers in parallel

# Performance Modeling Pros and Cons

## Pros

- Can reveal problems at design time
- Repeatable (if the model is stable)
- Possibly can analyze corner cases (worst case)
- Relatively fast and cheap (unless complex math required)

## Cons

- It is easy to design models too complex to be analyzed
- Too simple models may be ignoring important factors
- Many factors are unknown (closed source or poor documentation)
- And all this implies low accuracy and therefore low trust in results

# Simulation

## Simulate Missing Parts of the System

- Users (humans) interacting with the system
- Missing SW or HW components interacting with the component of interest
- Expensive or not yet available HW the system will run on (emulation)
- Or even the whole system

And assume the results are representative of real system.

# Simulation Pros and Cons

## Pros

- Can take into account more details than (analytical) modeling, making the results more realistic
- Can reduce the cost by substituting missing or expensive parts
- Flexible, allows parameter space search
- For some cases, simulator can monitor more metrics than real device

## Cons

- To reduce simulation time, the precision often has to be reduced
- If realistic, not always repeatable
- Slower and more expensive than modeling
- Potentially error-prone, errors hard to detect (for example random number generator problems)

# Performance Measurement I

## Measuring (Parts of) the Implemented System

- Either exactly in the way it will be used
- Or using common or expected workload

## Analyzing the Results

- Repeating measurements (or their parts) if needed
- Estimating precision using statistical methods
- Presenting the results in aggregate numerical or visual form (plots)

# Performance Measurement II

## Complete System Measurement

Measurement of the whole (potentially simulated) system using representative workload

- Most reliable results
- High cost, needs implementation, may take a long time

## Separate Component Measurement

Each component is measured separately, possibly in isolation. This entails the following steps:

- Devising performance test for an individual component
- Characterizing the demands of the application on the component
- Putting these two together (especially difficult to assess interactions)

# Performance Measurement Pros and Cons

## Pros

- Result are (possibly) most representative of the real systems
- Systems with closed source or specification are not a problem
- Relatively simple analysis

## Cons

- Needs finished implementation and real hardware
- Harder to identify causes of the results
- Some metrics difficult to measure
- Needs careful experiment design
- May not reveal corner cases
- Results are hard to generalize