Metrics for System Investigation

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1 Overview

System Investigation

Measuring for the purpose of understanding system behavior.

Requirements:
- Directly related to specific system components.
- Configurable to fit variety of investigated systems.
- Reasonably simple to measure during development or operation.

Pitfalls:
- Metric design often influenced by what we can measure.
- Behavior of specific components may be difficult to isolate.
- Relationship to practically observed performance questionable.

2 Intermezzo: Out Of Order Execution

Current Processor Characteristics

Pipelined
Multiple instructions processed at different execution stages.

Superscalar
Multiple instructions dispatched simultaneously to multiple execution units.

Out Of Order Processing
Instructions scheduled for execution and retired based on dependencies.

**Speculative Program Execution**
Instructions may be executed based on speculation about future state.

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The paper by Abel et al.: uops.info: Characterizing Latency, Throughput, and Port Usage ... doi:10.1145/3297858.3304062 investigates the latency, throughput, and port usage of instructions on Intel processors. The paper introduces relevant metric definitions and describes algorithms used for evaluating individual instructions, with results for many Intel processor architectures available at [http://www.uops.info](http://www.uops.info). Particularly interesting is the algorithm used to measure port usage, which mixes the instruction under analysis with other instructions known to block particular port combinations to determine exact port usage.

For one application of the latency information in code analysis, see a paper by Laukemann et al.: Automated Instruction Stream Throughput Prediction ... doi:10.1109/PMBS.2018.8641578.

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3 **Intermezzo: Branch Prediction**

### Branch Prediction

#### Condition Prediction

Trying to guess whether a conditional jump will jump or not.

- Concerns most loops and branches in source.
- Short branches also done with conditional instructions.

#### Target Prediction

Trying to guess where an indirect jump will jump.

- Concerns all virtual method invocations in source.
- Concerns all return statements in source.
- Concerns some switch statements.

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### Static Branch Prediction

#### Static Prediction

Predicting without knowledge of past behavior.

Not much can be done:

- Forward jumps predicted as not taken.
- Backward jumps predicted as taken.
- Guess why?

#### Prediction With Counters

#### Single Bit

Remember last state as taken or not taken. Predict same behavior as last time.

- Works for loops with many iterations.
- Poor for many common patterns.

#### Saturating Two Bits

Use saturating counter that increments vs decrements depending on branch being taken vs not taken. Predict behavior depending on counter value.

- Still poor for many common patterns.

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*General terminology may not fit when applied on particular processor.*
Prediction With History

History
Remember recent history as string of taken or not taken bits. Use history as index to table of saturating counters.
- We already have a hash table of counters anyway.
- Fixes behavior with short patterns that break counters alone.
- History either local for one branch or global across all branches.

Branch Target Buffer

One Target
Simply store last branch target in hash table.
- Not very good with polymorphic targets.
- Some benchmarks suggest success around half of the time.

More Targets
Store multiple targets indexed by history.
- History of past addresses or parts of those.
- Some benchmarks suggest global history better than local.

In Reality?
Real designs mix more prediction principles.

Intel Sandy Bridge
- Two level predictor with 32 bits global history.
- Branch target buffer size probably around 4096 entries.
- Return target stack for up to 16 nested calls.

AMD Ryzen
- Hybrid predictor with perceptron.
  - Sounds arcane but in fact linear combination of selected history bits.
  - Of course many details are hidden in the training phase.
- Branch target buffer architecture and size not reported.
- Return target stack for up to 32 nested calls.

The paper by Uzelac et al.: Experiment Flows and Microbenchmarks … doi:10.1109/ISPASS.2009.4919652 investigates the architecture of the branch predictors on a selected Intel processor. The paper describes a number of algorithms used for evaluating individual branch predictor components and documents the discovered branch predictor architecture.

4 Processor Behavior Metrics

Processor Behavior Metrics: Processor View

Overview
Metrics characterising application execution effectivity:
- Instructions per cycle (IPC or inverse CPI).
- Branch prediction hit (miss) count or rate.
- Memory accesses per instruction.
- ...
Metric properties:
- Useful for example to appraise code optimisations.
- Typically very much platform specific.

### Processor Behavior Metrics: Application View

#### Overview
Metrics characterising application execution demands:
- Instruction mix in general terms.
- Average lifetime of register values.
- General predictability of branch instructions.
- ...

Metric properties:
- Very hard to define meaningful metrics and values.

# 5 Intermezzo: Memory Hierarchy

### Memory Hierarchy Features

#### Translation Caching
Address translation caches remember recent virtual to physical mappings.

#### Content Caching
Content caches remember recent data and hold recent writes.

#### Prefetching
Regular access patterns trigger prefetching.

#### Coherency
Single memory illusion maintained.

Look at the paper by Hackenberg et al.: Comparing Cache Architectures ... doi:[10.1145/1669112.1669165](https://doi.org/10.1145/1669112.1669165). Look at the paper by Molka et al.: Cache Coherence Protocol and Memory Performance ... doi:[10.1109/ICPP.2015.83](https://doi.org/10.1109/ICPP.2015.83). The paper by Abel et al.: Reverse Engineering of Cache Replacement Policies ... doi:[10.1109/ISPASS.2014.6844475](https://doi.org/10.1109/ISPASS.2014.6844475) documents the cache replacement policy of two selected Intel processors and points out that the observations fit partially randomized policies.

The paper by Vila et al.: CacheQuery: Learning Replacement Policies ... doi:[10.1145/3385412.3386008](https://doi.org/10.1145/3385412.3386008) reverse engineers the cache replacement policies of three selected Intel processors using automata learning techniques.

The paper by Maurice et al.: Reverse Engineering Intel Last-Level Cache ... doi:[10.1007/978-3-319-20562-5_3](https://doi.org/10.1007/978-3-319-20562-5_3) reverse engineers the last level cache indexing functions for multiple Intel processors using hardware performance counters.

# 6 Memory Related Behavior Metrics

### Cache Relevant Behavior Metrics

#### Overview
Metrics characterize memory access patterns.
- Cache misses (hits) per memory access (rate).
  - Individually for each cache level.
  - Also for address translation caches.
- Stack (reuse) distance. Number of accesses to unique addresses between reuses of the same address.
- Average memory access time usually in clock cycles. \( T_{\text{avg}} = p_{\text{hit}} \cdot T_{\text{cache}} + (1 - p_{\text{hit}}) \cdot T_{\text{memory}} \)

Metric properties:
- Depends on many platform properties (timing, prefetching, replacement strategies).
- Can guide application specific optimizations (data layout modifications, tiling, compute to fetch ratio).

## Allocation Behavior Metrics

### Overview

Metrics characterize dynamic (heap) memory allocation patterns.
- Allocation rate, deallocation rate. Should be the same, on average.
- Live size. Total size of usable (reachable) memory.
- Object lifetime. What time elapses between object allocation and deallocation (becoming unreachable). Time unit is usually a byte allocated or an object allocated.
- Object size.
- \( \text{Avg live size} = \text{Avg object size} \cdot \text{Avg object lifetime} \)

Look at the paper by Hertz et al.: Generating Object Lifetime Traces ... doi:10.1145/1133651.1133654 Examine Figure 12 for a heap profile visualization of an example benchmark workload. The X axis shows time in bytes allocated. The Y axis shows position in heap sorted by object age. The lines are similar to map contours, fired off in constant X axis steps, and thus indicate the volume of live objects remaining from particular program execution phases.

Look at the paper by Lengauer et al.: Accurate and Efficient Object Tracing ... doi:10.1145/2668930.2688037