

# Decision Procedures and Verification

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# Efficient Data Structures for DPPL-based algorithm

- ▶ Goal:
  - ▶ Efficient unit propagation
  - ▶ Efficient backtracking

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# Efficient Data Structures for DPPL-based algorithm

- ▶ Goal:
  - ▶ Efficient unit propagation
  - ▶ Efficient backtracking
- ▶ Be lazy! Don't do unnecessary work!
- ▶ *Lazy* data structures
  - ▶ Head-tail lists (halfway there)
  - ▶ Two watched literals

# Efficient Data Structures for DPPL-based algorithm

## Head-tail lists

- ▶ First lazy data structure proposed for SAT; used in SATO solver, '97.
- ▶ Each clause maintains two references:
  - ▶ head and tail
- ▶ Each literal maintains two lists of clauses
  - ▶ where it is a head and where it is a tail
- ▶ When a literal is falsified  $\Rightarrow$  check only clauses in its occurrence lists. Search for an unassigned literal in direction of head (tail):
  - ▶ Satisfied literal is encountered  $\Rightarrow$  clause is already satisfied.
  - ▶ Unsatisfied literal is found which *is not* the head (tail)  $\Rightarrow$  new tail (head).
  - ▶ Unsatisfied literal is found which *is* the head (tail)  $\Rightarrow$  unit clause.
  - ▶ Else  $\Rightarrow$  conflict clause.

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  - ▶ Else  $\Rightarrow$  conflict clause.
- ▶ Backtracking requires recovering of the references.

# Efficient Data Structures for DPPL-based algorithm

## Two watched literals

- ▶ Improves head-tail lists
- ▶ Implemented in CHAFF SAT solver, '01.
- ▶ Each clause maintains two references:
  - ▶ watched literals
- ▶ Each literal maintains a list of clauses
  - ▶ where it is watched
- ▶ When a literal is falsified  $\Rightarrow$  check only clauses in its occurrence list. Search for an literal which is not falsified.
  - ▶ Satisfied literal is encountered  $\Rightarrow$  clause is already satisfied.
  - ▶ Unsatisfied literal is found which *is not* the other watched literal  $\Rightarrow$  new watched literal.
  - ▶ Unsatisfied literal is found which *is* the other watched literal  $\Rightarrow$  unit clause.
  - ▶ Else  $\Rightarrow$  conflict clause.

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  - ▶ Unsatisfied literal is found which *is* the other watched literal  $\Rightarrow$  unit clause.
  - ▶ Else  $\Rightarrow$  conflict clause.
- ▶ **Backtracking does not require any work!**



# Watched literals

## Running example

$$c_1 = (\overset{\downarrow}{x_1} \vee x_2 \vee \overset{\downarrow}{x_3})$$

$$c_2 = (\overset{\downarrow}{\neg x_1} \vee x_2 \vee \overset{\downarrow}{\neg x_4})$$

$$c_3 = (\overset{\downarrow}{\neg x_1} \vee x_3 \vee \overset{\downarrow}{\neg x_4})$$

## Watched occurrences

$$x_1: \{c_1\} \qquad \neg x_1: \{c_2, c_3\}$$

$$x_2: \{\} \qquad \neg x_2: \{\}$$

$$x_3: \{c_1\} \qquad \neg x_3: \{\}$$

$$x_4: \{\} \qquad \neg x_4: \{c_2, c_3\}$$

# Watched literals

## Running example

Decide  $x_1 \mapsto \text{False}$

$$\begin{aligned} c_1 &= (x_1 \vee x_2 \vee x_3) \\ c_2 &= (\neg x_1 \vee x_2 \vee \neg x_4) \\ c_3 &= (\neg x_1 \vee x_3 \vee \neg x_4) \end{aligned}$$

Diagram illustrating the watched literals for clauses  $c_1$ ,  $c_2$ , and  $c_3$ . The watched literals are indicated by arrows pointing to the corresponding literal in each clause:

- $c_1$ :  $x_2$  and  $x_3$  are watched.
- $c_2$ :  $\neg x_1$  and  $\neg x_4$  are watched.
- $c_3$ :  $\neg x_1$  and  $\neg x_4$  are watched.

Watched occurrences

$$\begin{aligned} x_1: & \{\} & \neg x_1: & \{c_2, c_3\} \\ x_2: & \{c_1\} & \neg x_2: & \{\} \\ x_3: & \{c_1\} & \neg x_3: & \{\} \\ x_4: & \{\} & \neg x_4: & \{c_2, c_3\} \end{aligned}$$

# Watched literals

## Running example

Decide  $x_2 \mapsto \text{False}$

$$\begin{aligned} c_1 &= (x_1 \vee x_2 \vee x_3) \\ c_2 &= (\neg x_1 \vee x_2 \vee \neg x_4) \\ c_3 &= (\neg x_1 \vee x_3 \vee \neg x_4) \end{aligned}$$

Diagram illustrating the clause structure for the decision step. Arrows point from  $x_2$  in  $c_1$  and  $x_2$  in  $c_2$  to their respective positions in the clauses. In  $c_1$ ,  $x_1$  is red and  $x_2$  is red. In  $c_2$ ,  $\neg x_1$  is green and  $x_2$  is red. In  $c_3$ ,  $\neg x_1$  is green and  $x_3$  is green.

Watched occurrences

$$\begin{aligned} x_1: & \{\} & \neg x_1: & \{c_2, c_3\} \\ x_2: & \{c_1\} & \neg x_2: & \{\} \\ x_3: & \{c_1\} & \neg x_3: & \{\} \\ x_4: & \{\} & \neg x_4: & \{c_2, c_3\} \end{aligned}$$

Derive  $x_3 \mapsto \text{True}$

$$\begin{aligned} c_1 &= (x_1 \vee x_2 \vee x_3) \\ c_2 &= (\neg x_1 \vee x_2 \vee \neg x_4) \\ c_3 &= (\neg x_1 \vee x_3 \vee \neg x_4) \end{aligned}$$

Diagram illustrating the clause structure for the derivation step. Arrows point from  $x_3$  in  $c_1$  and  $x_3$  in  $c_3$  to their respective positions in the clauses. In  $c_1$ ,  $x_1$  is red,  $x_2$  is red, and  $x_3$  is green. In  $c_2$ ,  $\neg x_1$  is green,  $x_2$  is red, and  $\neg x_4$  is green. In  $c_3$ ,  $\neg x_1$  is green,  $x_3$  is green, and  $\neg x_4$  is green.

Watched occurrences

$$\begin{aligned} x_1: & \{\} & \neg x_1: & \{c_2, c_3\} \\ x_2: & \{c_1\} & \neg x_2: & \{\} \\ x_3: & \{c_1\} & \neg x_3: & \{\} \\ x_4: & \{\} & \neg x_4: & \{c_2, c_3\} \end{aligned}$$

# Watched literals

## Running example

Easy backtracking  $\Rightarrow$  erase assignment, keep watched occurrences.

$$\begin{aligned} c_1 &= (x_1 \vee \overset{\downarrow}{x_2} \vee \overset{\downarrow}{x_3}) \\ c_2 &= (\overset{\downarrow}{\neg x_1} \vee x_2 \vee \overset{\downarrow}{\neg x_4}) \\ c_3 &= (\overset{\downarrow}{\neg x_1} \vee x_3 \vee \overset{\downarrow}{\neg x_4}) \end{aligned}$$

Watched occurrences

$$\begin{array}{ll} x_1: \{\} & \neg x_1: \{c_2, c_3\} \\ x_2: \{c_1\} & \neg x_2: \{\} \\ x_3: \{c_1\} & \neg x_3: \{\} \\ x_4: \{\} & \neg x_4: \{c_2, c_3\} \end{array}$$

# Decision heuristics

## Decision heuristic

*Decision heuristic* in a SAT solver is a strategy by which the variables and the value given to them are chosen.

- ▶ Jeroslow-Wang
- ▶ Dynamic Largest Individual Sum
- ▶ Variable State Independent Decaying Sum
- ▶ Berkmin
- ▶ Clause-Move-To-Front
- ▶ ...

- ▶ Idea: prefer literals that appear frequently in small clauses.
- ▶ Compute a score for each literal as  $J(l) = \sum_{C \in \varphi, l \in C} 2^{-|C|}$ .
- ▶ When making a decision choose a literal with highest score.
- ▶ Can be both static and dynamic:
  - ▶ static - Fast (single computation at the beginning), but does not reflect how the situation evolves.
  - ▶ dynamic - Makes better decisions but also imposes large overhead at the decision point.

# Variable State Independent Decaying Sum (VSIDS)

- ▶ SAT solver CHAFF, 2001
- ▶ *conflict driven* heuristic: Gives preferences to literals in newly learned clauses.
- ▶ Every literal has a score (based on how many occurrences there are).
- ▶ Score of literals in newly learned clauses increases.
- ▶ The score is periodically divided by  $d > 1$ .

# Berkmin

- ▶ member of a family of *clause-based* heuristics
- ▶ SAT solver BERKMIN, 2002
- ▶ Score for every variable (divided) and for every literal (not divided)
- ▶ Keeps stack of conflict clauses.
- ▶ Picks a variable with highest score from unresolved clause from top of the stack.
- ▶ Assigns a polarity based on the literal score.



# Random restarts

- ▶ Inspiration from stochastic search
- ▶ Few bad decisions at the beginning get SAT solver stuck in unproductive subtree
- ▶ Chance to do better (more informed) decisions at the beginning
- ▶ Keep (or not) the learned clauses
- ▶ Example of a strategy: Geometric sequence of number of conflicts after which a restart is performed

# Preprocessing

- ▶ Tries to simplified the set of input clauses
- ▶ Applied before the input goes to CDCL algorithm
  - ▶ Also at a restart
- ▶ Trade-off between time spent in preprocessing and its effect
- ▶ Examples:
  - ▶ (bounded) variable elimination by clause distribution
  - ▶ blocked clause elimination
  - ▶ subsumption
  - ▶ self-subsumption

# SAT solver toolbox overview

- ▶ DPLL algorithm
- ▶ clause learning
- ▶ two watched literals
- ▶ decision heuristics
- ▶ restarts
- ▶ preprocessing

# SOLVERS BASED ON STOCHASTIC (LOCAL) SEARCH

# GSAT

- ▶ Selman et al., 1992
- ▶ Greedy traversing among complete valuations of variables with restarts
- ▶ Incomplete

```
1: procedure GSAT( $\varphi$ , MAX_TRIES, MAX_FLIPS)
2:   for  $i = 1, 2, \dots, \text{MAX\_TRIES}$  do
3:      $\alpha \leftarrow$  random full assignment
4:     for  $j = 1, 2, \dots, \text{MAX\_FLIPS}$  do
5:       if  $\forall c \in \varphi : c$  is satisfied by  $\alpha$  then return TRUE
6:       choose  $x \in \text{Var}(\varphi)$  such that flipping polarity of  $x$ 
       leads to the highest number of satisfied clauses
7:       flip polarity of  $x$  in  $\alpha$ 
8:   return FALSE
```

# WALKSAT

- ▶ Selman et al., 1994
- ▶ Random walk with probability  $p$
- ▶ Greedy step with probability  $1 - p$

```
1: procedure WALKSAT( $\varphi$ , MAX_TRIES, MAX_FLIPS)
2:   for  $i = 1, 2, \dots, \text{MAX\_TRIES}$  do
3:      $\alpha \leftarrow$  random full assignment
4:     for  $j = 1, 2, \dots, \text{MAX\_FLIPS}$  do
5:       if  $\forall c \in \varphi : c$  is satisfied by  $\alpha$  then return TRUE
6:       choose  $c \in \varphi$ , random not satisfied clause
7:       if  $\text{RAND}(0, 1) < p$  then choose random  $x \in \text{Var}(c)$ 
8:       else choose  $x \in \text{Var}(c)$  such that flipping polarity
           of  $x$  leads to the highest number of satisfied clauses
9:       flip polarity of  $x$  in  $\alpha$ 
10:  return FALSE
```

# Message passing algorithms

- ▶ Iteratively change value of a variable according to effect of related clauses

*Factor graph* for a CNF formula  $\varphi$  is  $G_F(\varphi) = (V_F, E_F)$  where:

- ▶  $V_F = \text{Var}(\varphi) \cup I$ ,
- ▶  $E_F = \{\{x, i\} \mid x \in \text{Var}(\varphi) \wedge i \in I \wedge x \in \text{Var}(c_i)\}$ , where  $c_i$  is a clause of  $\varphi$ ,

and  $I = \{1, 2, \dots, n\}$  indexes clauses of  $\varphi$ .

*Variable occurrence indication*

- ▶  $J_x^i = 1$  if  $x \in \text{Var}(\varphi)$  has a *negative* occurrence in  $c_i$
- ▶  $J_x^i = -1$  if  $x \in \text{Var}(\varphi)$  has a *positive* occurrence in  $c_i$
- ▶  $V(x) = \{i \mid i \in I \wedge x \in \text{Var}(c_i)\}$  for  $x \in \text{Var}(\varphi)$
- ▶  $V(i) = \{x \mid x \in \text{Var}(\varphi) \wedge x \in \text{Var}(c_i)\}$  for  $i \in I$ 
  - ▶  $V^+(x), V^-(x), V^+(i), V^-(i)$  defined analogically for positive and negative occurrences

## Warning propagation

- ▶  $u_{i \rightarrow x} \in \{0, 1\}$  for  $x \in \text{Var}(\varphi)$  and  $i \in I$  is called a *warning*
  - ▶  $u_{i \rightarrow x} = 1$  ... a message from  $c_i$  telling  $x$  to adopt the correct value
- ▶ Warning update rule: 
$$u_{i \rightarrow x} = \prod_{y \in V(i) \setminus \{x\}} \Theta(-J_y^i \sum_{j \in V(y) \setminus \{i\}} J_y^j u_{j \rightarrow y}),$$
 where  $\Theta(r) = 0$  if  $r \leq 0$  and  $\Theta(r) = 1$  if  $r > 0$ .

- 1: **procedure** WARNING-PROPAGATION( $\varphi, \text{MAX\_SWEEPS}$ )
- 2:     **let**  $G_F(\varphi) = (V_F, E_F)$  a factor graph for  $\varphi$
- 3:     **for**  $(x, i) \in E_F$  **do**
- 4:          $u_{i \rightarrow x}(0) \leftarrow 0$  or 1 with probability 0.5
- 5:     **for**  $t = 1, 2, \dots, \text{MAX\_SWEEPS}$  **do**
- 6:         **for**  $(x, i) \in E_F$  **do**
- 7:             
$$u_{i \rightarrow x}(t) \leftarrow \prod_{y \in V(i) \setminus \{x\}} \Theta(-J_y^i \sum_{j \in V(y) \setminus \{i\}} J_y^j u_{j \rightarrow y}(t-1))$$
- 8:             **if**  $(\forall (x, i) \in E_F) u_{i \rightarrow x}(t) = u_{i \rightarrow x}(t-1)$  **then return** TRUE
- 9:     **return** FALSE



## Warning inspired decimation

- ▶ Preferred value for variable  $x$ :  $H_x = - \sum_{i \in V(x)} J_x^i u_{i \rightarrow x}$
- ▶ Contradiction indicator for variable  $x$ :  $c_x = 1$  if  $(\sum_{i \in V^+(x)} u_{i \rightarrow x})(\sum_{i \in V^-(x)} u_{i \rightarrow x}) > 0$ ,  $c_x = 0$  otherwise.

```
1: procedure WARNING-INSPIRED-DECIMATION( $\varphi$ , MAX_SWEEPS)
2:   while  $\varphi \neq \text{True}$  do
3:      $\alpha = \emptyset$ 
4:     if not WARNING-PROPAGATION( $\varphi$ , MAX_SWEEPS) then
       return UNKNOWN
5:     if  $\exists x \in \text{Var}(\varphi) : c_x = 1$  then return UNSAT
6:     for  $x \in \text{Var}(\varphi)$  do
7:       if  $H_x > 0$  then  $\alpha \leftarrow \alpha \cup \{x \mapsto 1\}$ 
8:       else if  $H_x < 0$  then  $\alpha \leftarrow \alpha \cup \{x \mapsto 0\}$ 
9:      $\varphi \leftarrow \varphi[\alpha]$ 
10:  return SAT
```

# Properties of message passing

## Convergence

If the factor graph of a formula is a tree, then warning propagation converges after  $|Var(\varphi)|$  iterations. If  $c_x = 1$  for some  $x \in Var(\varphi)$  then  $\varphi$  is unsatisfiable, otherwise it is satisfiable.

- ▶ Other algorithms based on message passing
  - ▶ Belief propagation (BP)
  - ▶ Survey propagation / survey inspired decimation